



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/649,969	08/28/2000	Lawrence Cary Gunn III	06618/692001/CIT3277

20985
FISH & RICHARDSON, PC
4350 LA JOLLA VILLAGE DRIVE
SUITE 500
SAN DIEGO, CA 92122



FORMALITIES LETTER



Date Mailed: 10/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 345 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$39.
■ \$39 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 449.

00000004 09649969	355.00	0.00
	55.00	40.00

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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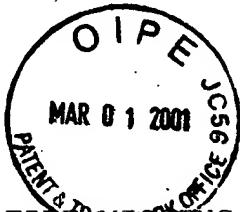
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lawrence Cary Gunn, III
Serial No.: 09/649,969Art Unit: Unknown
Examiner: Unknown

Filed : August 28, 2000

Title: OPTICAL SYSTEM USING ACTIVE CLADDING LAYER

BOX MISSING PARTSCommissioner for Patents
Washington, D.C. 20231RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed October 24, 2000 (copy enclosed), applicant as a small entity submits herewith the following:

- Payment of the basic filing fee of \$355;
- Payment of the additional/multiple dependent claims fees of \$40;
- Check in payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- Applicant hereby petitions under 37 C.F.R. §1.136 for a 2 month extension of time \$195.
- A Verified Statement Claiming Small Entity Status;
- Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

February 22, 2001

Date of Deposit

Signature

Roxanne Ippolito

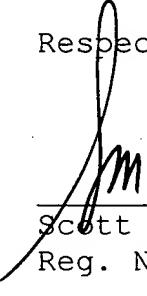
Typed or Printed Name of Person Signing
Certificate

- A verified English translation of the application and payment of the \$0 fee required under 37 CFR §1.17(k); and
- Other:

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 8/22/01


Scott C. Harris
Reg. No. 32,030

Fish & Richardson P.C.
Customer Number: 20985
4350 La Jolla Village Drive, Suite 500
San Diego, CA 92122
Telephone: (858) 678-5070
Facsimile: (858) 678-5099
10082804.doc